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	FORM PTO-1 (REV 12-29-9		ENT OF COMMERCE PATENT AND TRADEMARK OFFICE	RNEY'S DOCKET NUMBER								
	TI	RANSMITTAL LETT	5367-US									
		DESIGNATED/ELE	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)									
		CONCERNING A FI										
		ATIONAL APPLICATION NO. US99/00475	INTERNATIONAL FILING DATE 09 January 1999	PRIORITY DATE CLAIMED 09 January 1998								
	TITLE OF INVENTION SYNTHESIS OF PHENSTATIN AND PRODRUGS THEREOF											
	APPLICANT(S) FOR DO/EO/US G.R. PETTIT et al											
	Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:											
	1. 🗓	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.										
	2.	This is a FECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.										
	3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay											
	4. 🗓	examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. X proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority of the second of the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).										
	5. X	A copy of the International Application as filed (35 U.S.C. 371(c)(2))										
	2	a. is transmitted herewith (required only if not transmitted by the International Bureau).										
		b. has been transmitted by the International Bureau.										
{	. —	c. X is not required, as the application was filed in the United States Receiving Office (RO/US).										
and the and the Call		A translation of the International Application into English (35 U.S.C. 371(c)(2)).										
ű	7. 🗀	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))										
, fill		a. are transmitted herewith (required only if not transmitted by the International Bureau).										
U		b. have been transmitted by the International Bureau.										
H		c. have not been made; however, the time limit for making such amendments has NOT expired.										
CI CI		d. have not been made	and will not be made.									
T	8.	A translation of the amendm	ents to the claims under PCT Article 19 (35 U.S.	C. 371(c)(3)).								
2	9. X	An oath or declaration of the	un oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
	10.	A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).										
	(35 U.S.C. 3/1(c)(5)). Items 11. to 16. below concern document(s) or information included:											
H. H	11.		tatement under 37 CFR 1.97 and 1.98.	•								
	12.		recording. A separate cover sheet in compliance	e with 37 CFR 3.28 and 3.31 is included.								
	13.	A FIRST preliminary amenda	ment.									
		A SECOND or SUBSEQUE	COND or SUBSEQUENT preliminary amendment.									
	14.	A substitute specification.										
	15.	A change of power of attorney and/or address letter.										
	16. X	Other items or information:	Copies of Verified Statement Claiming Small Entity Status - Independent Inventor as originally filed with US Provisional 60/070,878 filed January 9, 1998 Certificate of Express Mail									
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U.S. APPLICATION NO. (H	J.S. APPLICATION NO. (If known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.				ATTORNEY'S DOCKET NUMBER			
	09/582952 PCT/IIS99/00475				ALCULATIONS PTO USE ONLY			
17. X The following	lowing fees are su	ALCULATIONS	FIGUSEONLI					
	AL FEE (37 CFI		(1) - (5)) : ition fee (37 CFR 1.482)					
			5(a)(2)) paid to USPTO	·				
			red by the EPO or JPO · · ·	\$970.00				
International USPTO but In	preliminary exami nternational Search							
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0, 1	ENTER A	PPROP	RIATE BASIC FEE A	MOUNT =	\$ 96.00			
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m nths from the	earliest claimed p	g me oam riority dat	or declaration later than e (37 CFR 1.492(e)).	20 30	5			
CLAIMS	NUMBER FI		NUMBER EXTRA	RATE		1		
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Independent claims		-3 =	2	X \$78.00	\$ 156.00			
MULTIPLE DEPI	ENDENT CLAIM(S			+ \$260.00	\$			
7			OF ABOVE CALCUL		\$ 252,00			
		l entity, if	applicable. A Small Entity S		\$ 126 . 00			
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				BTOTAL =	\$ 126.00			
Processing fee of months from the	\$130.00 for furni earliest claimed p	shing the lateriority date	English translation later that e (37 CFR 1.492(f)).	n [20	\$			
<u> </u>			TOTAL NATIO	NAL FEE =	\$ 126.00			
Fee for recording accompanied by	the enclosed assi an appropriate co	gnment (3 ver sheet (3	7 CFR 1.21(h)). The assignment of The CFR 3.28, 3.31). \$40.00	ment must be per property +	\$			
			TOTAL FEES EN	CLOSED =	\$ 126.00			
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	a. X A check in the amount of \$126.00 to cover the above fees is enclosed.							
A dupli	b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-5100								
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.								
SEND ALL COPPE	PONDENCE TO-			1	. 04:4	Δ A		
	Richard R. Mybeck				danken	ybeck		
	8010 East Morgan Trail, Suite 10				IRE:			
Scottsdale	Scottsdale, Arizona 85258-1234 Ric				ard R. Mybeck			
480.483,1285				NAME				
<i>₹</i> 7 17,8				5				
REGISTRATION NUMBER								



APPLICANT:

GEORGE R. PETTIT et al

DOCKET NO. 5367

SERIAL NO.:

FOR:

SYNTHESIS OF PHENSTATIN AND PRODRUGS THEREOF

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled SYNTHESIS OF PHENSTATIN AND PRODRUGS THEREOF described in the specification filed herewith.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

I acknowledge the duty to file, in this application, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application and any patent issuing thereon to which this verified statement is directed.

Date	//7/ 9 8	perge of Petit
		GEORGE R. PETTIT

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GEORGE R. PETTIT et al.

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Date 1/7/9

BRIAN TOKI



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Date: July 7, 2000

Attorney Docket No. 5367-US

CERTIFICATION UNDER 37 C.F.R. §1.10

EL535208336US
"Express Mail" number

July 7, 2000
Date of Deposit

> Richard R. Mybeck Reg. No. 17,886